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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: MORTAZAVI

Attorney Docket No.: SUN1P849/P7352

Application No.: 10/052,304

Examiner: UNKNOWN

Filed: January 17, 2002

Group: 2151

Title: ONLINE UPGRADE OF CONTAINER-BASED SOFTWARE COMPONENTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on November 7, 2002.

Signed: 

Sandra Halliwell

INFORMATION DISCLOSURE STATEMENT  
37 CFR §§ 1.56 AND 1.97(b)

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Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P849/P7352).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

  
Ramini Mahboubian

Registration No. 44,890

P.O. Box 778  
Berkeley, CA 94704-0778  
(650) 961-8300

**Form 1449 (Modified)**

**Information Disclosure Statement By Applicant**

(Use Several Sheets if Necessary)

Atty Docket No.  
**SUN1P849/P7352**

Applicant:  
**MORTAZAVI**

Filing Date  
**01/17/2002**

Application No.:  
**10/052,304**

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**2151**

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### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date

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### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	1	Horstmann et al., "Core JAVA Volume 1 – Fundamentals", Sun Microsystems, Inc. 2001, Ch. 8, pp. 347-415
	2	"The Industry-Backed Server-Side Component Architecture", Enterprise JavaBeans™ technology, Sun Microsystems, Inc., (2001) Download from java.sun.com, 2 pp.
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.